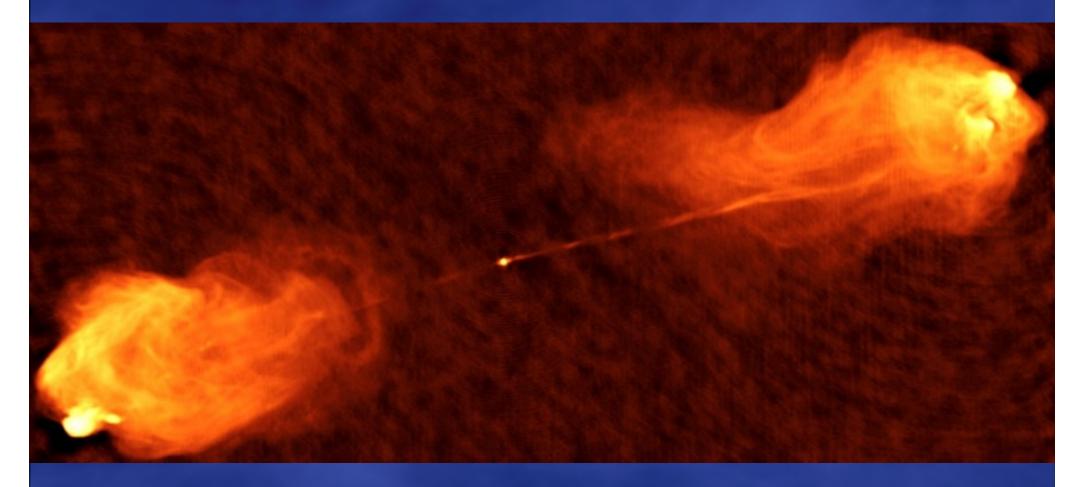
Radio Astronomy Image Formation in the SKA Era

Alle-Jan van der Veen TU Delft, The Netherlands

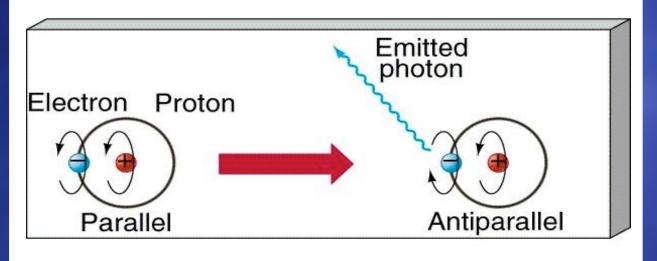
Introduction—the science

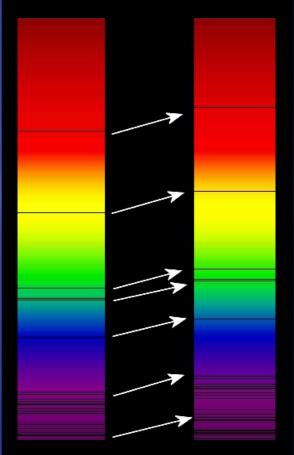
Cygnus A (a quasar)



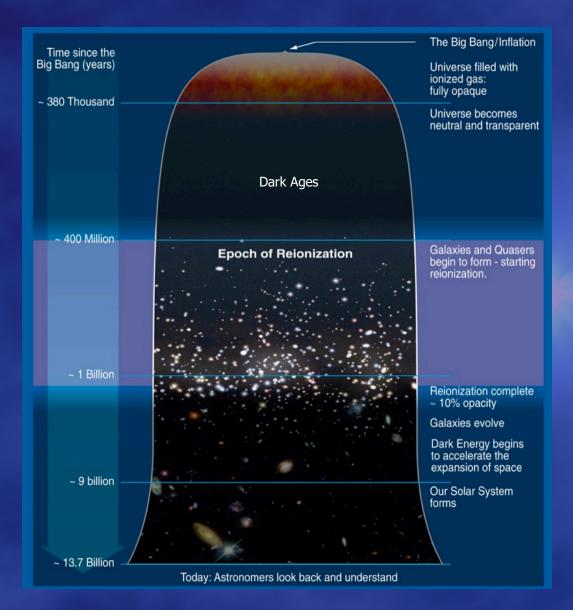
Introduction—the science

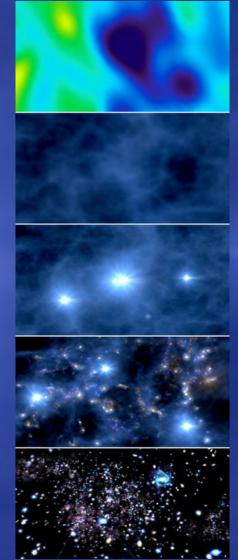
- Blackbody radiation; synchrotron radiation
- Spectral line due to neutral hydrogen (1420 MHz)
- Redshift ("Doppler")--up to a factor 10!





Introduction—the science



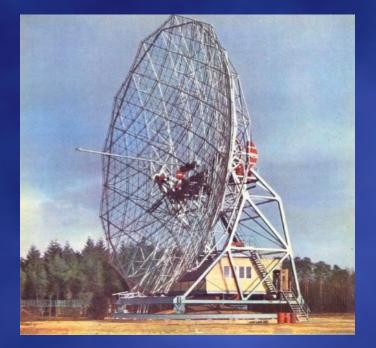


4

Karl Jansky, Bell Labs (1928), 20 MHz



Oort/van der Hulst (1950s), prediction and discovery of the HI 21 cm line (1420 MHz)



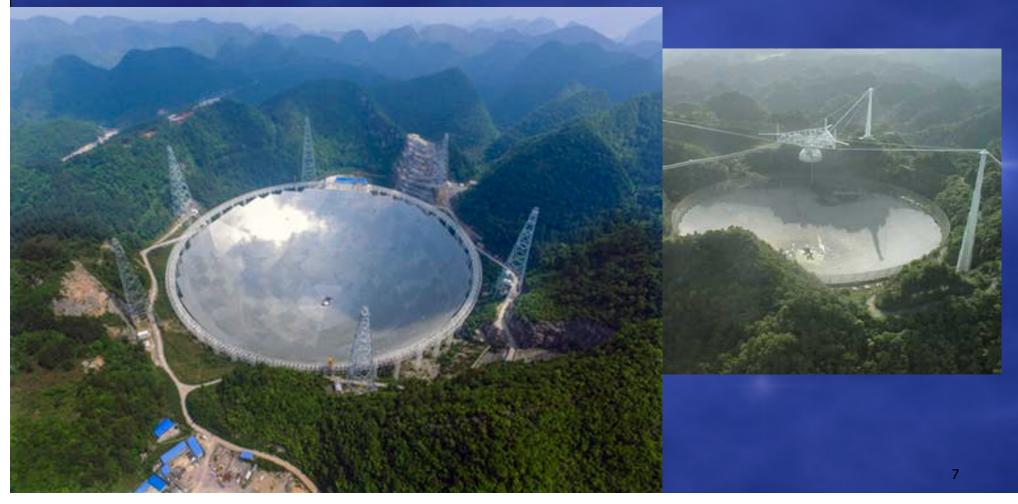
Dwingeloo (Netherlands), 1956



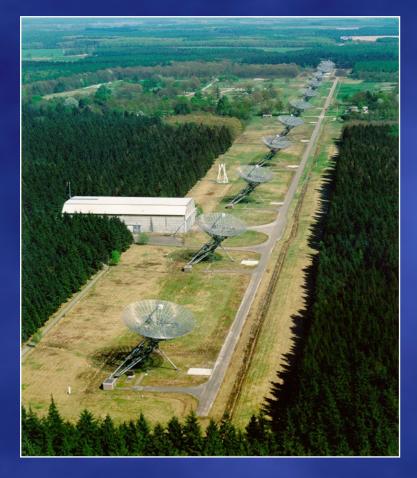
Jodrell Bank (Manchester, UK), 1957
 76 m

Effelsberg, Germany (1972) 100m
Arecibo, Puerto Rico (1960) 305m

• "FAST", Guizhou, China (2016), 500m



 Westerbork, Netherlands (1970), 14 dishes



Very Large Array, New Mexico (1980), 27 dishes

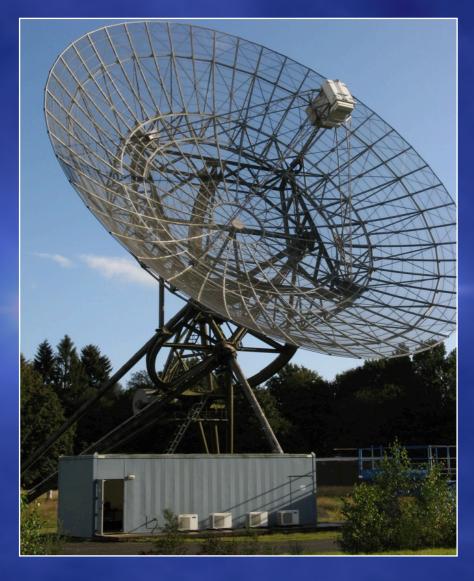


New instruments – Focal Plane Arrays

Westerbork upgrade (2017)

- 8 x 8 phased array
- 37 beams for faster surveys
- Beamshape control





New instruments – Aperture Arrays

The new era: massive phased arrays

(a) Sparse phased arrays

(b) Dense phased arrays



LOFAR (Europe), 100,000 antennas



EMBRACE (NL), prototype AA

LOFAR radio telescope array (2008)

Design parameters

. . .

- 38 stations (Netherlands)
- 13+ additional stations in Germany, UK, Sweden, France, Poland, Ireland,
- ~1000 antenna elements/station
 Dense core, 24 stations within 3.5 km
- Lowband array: 10-90 MHz
 Highband array: 110-240 MHz
 Resolution ~1 kHz
- 8 simultaneous beamsUp to 48 MHz per beam

Designed and operated by ASTRON



LOFAR radio telescope array

Station parameters

- Each station has 2 high band array substations, and 96 low band antennas
- Beamformed output mimicks a telescope dish
- 24 core stations
 14 NL remote stations
 13+ international stations



LOFAR core stations

Core station parameters

- `Superterp': 6 stations, diameter 300m
- 24 core stations within 3.5 km

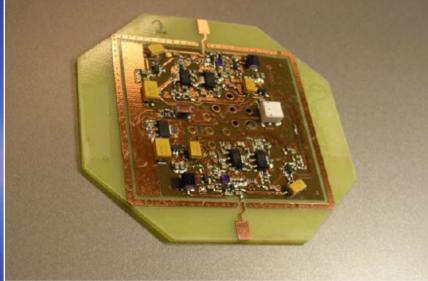




LOFAR lowband antennas

10-90 MHzDipole antenna, 96 dipoles per station





LOFAR high-band array

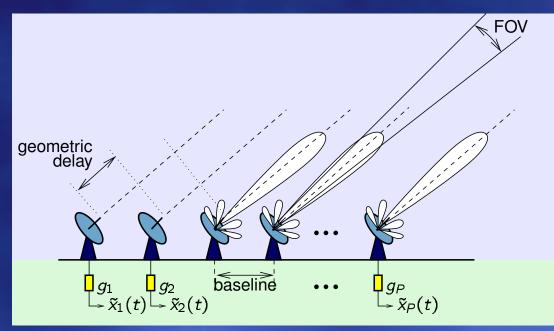
120-240 MHz
Each tile is 5 x 5 meter and has 4 x 4 'spider' antennas encapsulated in polystyrene
24 tiles form a sub-station

2 substations per station (total 768 antennas)





Observations



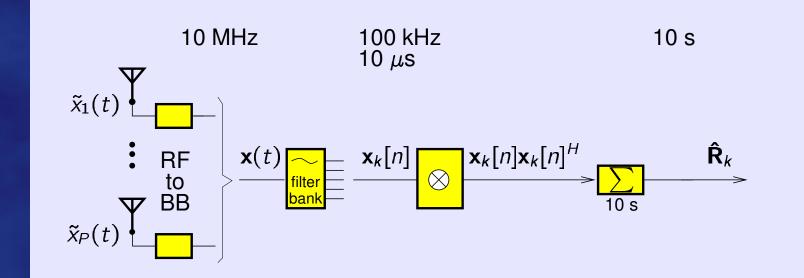
Consider *P* antennas, *Q* sources, *N* samples per short-term interval (STI), a single subband:

$$\mathbf{x}_k[n] = \mathbf{A}_k \mathbf{s}_k[n] + \mathbf{n}_k[n], \qquad n = 1, \cdots, N$$

where $A_k = [a_1, \dots, a_Q]$ of size $P \times Q$ is the array response matrix.

Index *k* represents "slow time" and/or frequency index.

Correlations

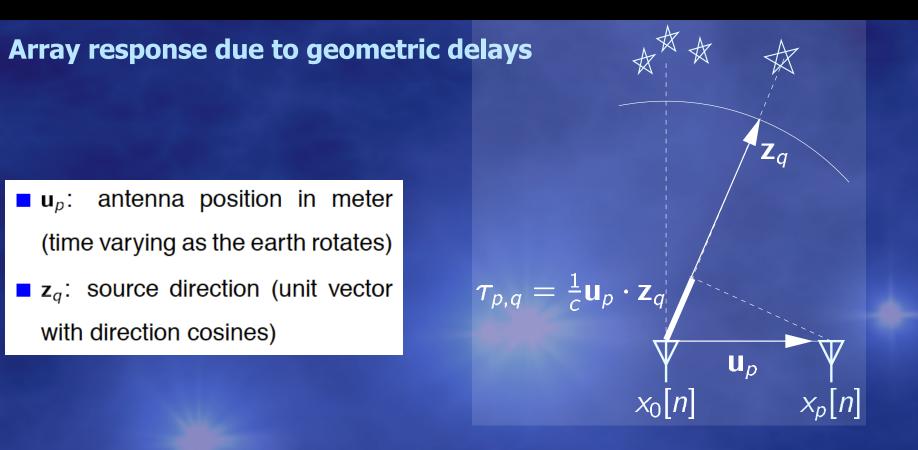


From the measurements, compute

$$\mathbf{\hat{R}}_{k} = rac{1}{N} \sum_{n=1}^{N} \mathbf{x}_{k}[n] \mathbf{x}_{k}[n]^{H}$$

A filter bank splits the signal into subbands to satisfy narrowband conditions.

The stationarity of \mathbf{R}_k is limited due to earth rotation.



Ideally, the response to a source only depends on the geometric delays, which, under narrowband conditions, can be represented by phase shifts:

A_k has entries
$$a_{p,q} = e^{-j2\pi f_c \tau_{p,q}} = e^{-j\frac{2\pi}{\lambda}} \mathbf{u}_p^T \mathbf{z}_q$$

Narrow-band and stationarity conditions

$$a_{p,q} = e^{-j2\tau} (f_c \tau_{p,q}) = e^{-j\frac{2\pi}{\lambda}} \mathbf{u}_p^T \mathbf{z}_q$$

Narrowband condition: $f_c \tau \approx \text{constant for } f_c \in (f_{\min}, f_{\max})$

 \Rightarrow Determines maximum processing bandwidth, function of array diameter D

- Stationarity condition: $f_c \tau \approx$ constant while \mathbf{u}_p rotates (earth rotation)
- \Rightarrow Determines maximum processing time (STI), also function of array diameter
- In practice, also calibration parameters are introduced to model antenna gains, amplifier gains, ionosphere, ···.

Measurement equation

The model for $\hat{\mathbf{R}}_k$ is

 $\mathbf{R}_k := E\{\mathbf{x}_k[n]\mathbf{x}_k^H[n]\} = \mathbf{A}_k \mathbf{\Sigma}_{s} \mathbf{A}_k^H + \mathbf{\Sigma}_{n}$

 $\Sigma_s = \text{diag}\{\sigma_s\}$: source powers corresponding to the directions in A_k ,

 $\Sigma_n = \text{diag}\{\sigma_n\}$: noise covariance (diagonal, known from calibration).

Model options

- Q point sources (small number), each represented by one column in $\mathbf{A}_k(\boldsymbol{\theta})$, where $\boldsymbol{\theta}$ are the DOAs "gridless";
- Alternatively, each pixel contains a source (possibly with zero power), hence A_k is known and can be very wide. E.g., $Q = 3000 \times 3000$ pixels.

We will consider this case.

Imaging problem formulation

Data model:

$$\mathbf{R}_k = \mathbf{A}_k \mathbf{\Sigma}_s \mathbf{A}_k^H + \mathbf{\Sigma}_n$$

Without the noise, a single entry of \mathbf{R}_k (called a *visibility*) is

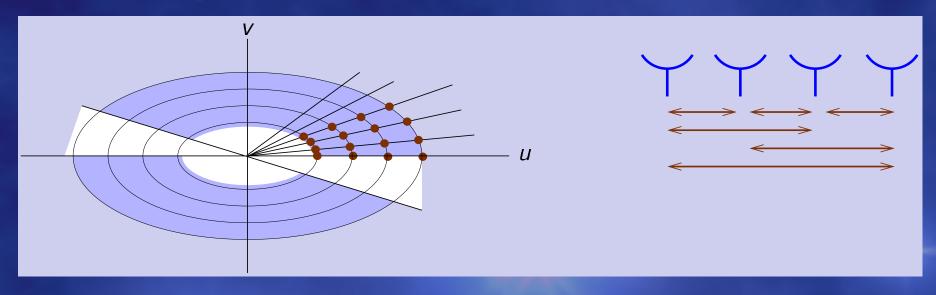
$$V_{ij,k} := (\mathbf{R}_k)_{ij} = \sum_{q=1}^{Q} \sigma_q^2 a_{i,q} \overline{a_{j,q}} = \sum_{q=1}^{Q} I(\mathbf{z}_q) e^{-j\frac{2\pi}{\lambda}} (\mathbf{u}_i(k) - \mathbf{u}_j(k))^T \mathbf{z}_q.$$

This is called the *measurement equation* and has the form of a Fourier transform.

■ $l(\mathbf{z}_q) = \sigma_q^2$ is the brightness (power) in direction \mathbf{z}_q . For a discrete point-source model: $l(\mathbf{z}) = \sum_{q=1}^{Q} \sigma_q^2 \, \delta(\mathbf{z} - \mathbf{z}_q)$.

l(z) is the image (called the *map*).

Baseline samples



 $\mathbf{u}_{ij,k} = \frac{2\pi}{\lambda} (\mathbf{u}_i(k) - \mathbf{u}_j(k)) \text{ is called a$ *baseline* $, with vector coordinates <math>\mathbf{u} = (u, v, w).$

With *P* antennas, we have at most *P*² independent baselines (visibility samples). But we obtain more samples as the earth rotates or if we consider multiple frequencies (scaling by λ).

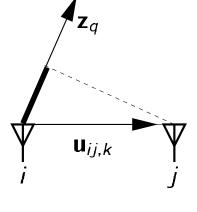
Fourier-based image formation

```
Measurement equation:
```

$$V_{ij,k} = \sum_{q=1}^{Q} I(\mathbf{z}_q) e^{-j \mathbf{u}_{ij,k}^{T} \mathbf{z}_q}$$

Try inverting the Fourier transform:

$$I_D(\mathbf{z}) := \sum_{i,j,k} V_{ij,k} e^{j \mathbf{u}_{ij,k}^T \mathbf{z}}$$



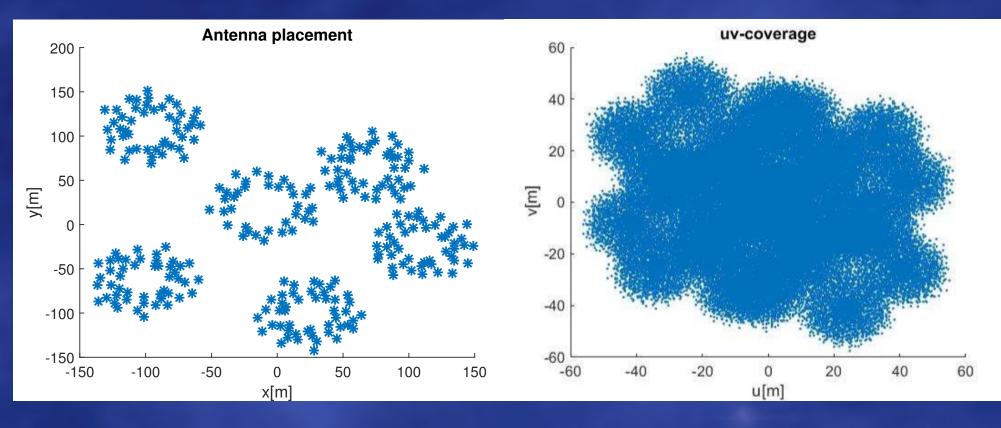
This *dirty image* is not quite equal to the true image $I(z) = \sum_{q=1}^{\infty} I(z_q) \, \delta(z - z_q)$.

To see this, insert the measurement equation:

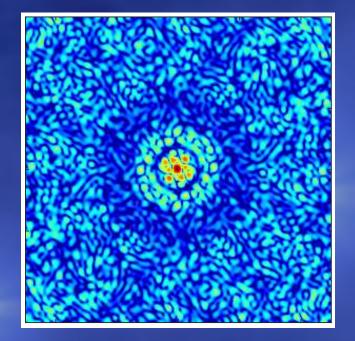
$$I_D(\mathbf{z}) = \sum_{i,j,k} \sum_q I(\mathbf{z}_q) e^{j \mathbf{u}_{ij,k}^T (\mathbf{z} - \mathbf{z}_q)} = \sum_q I(\mathbf{z}_q) B(\mathbf{z} - \mathbf{z}_q) = I(\mathbf{z}) * B(\mathbf{z})$$

where the PSF $B(\mathbf{z}) = \sum_{i,j,k} e^{j \mathbf{u}_{ij,k}^{T} \mathbf{z}}$ is called the *dirty beam* — a function of the available (u, v) samples.

LOFAR "Superterp" antenna configuration (u,v) samples (snapshot) P = 288 antennas, max baseline 326 m



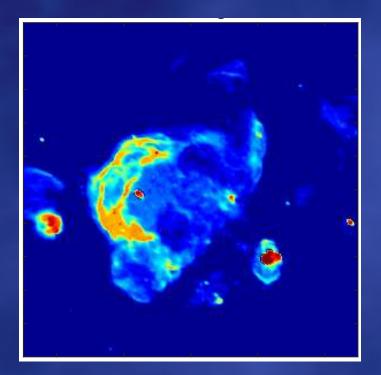
PSF (dirty beam), dB scale, range 0..-35 dB



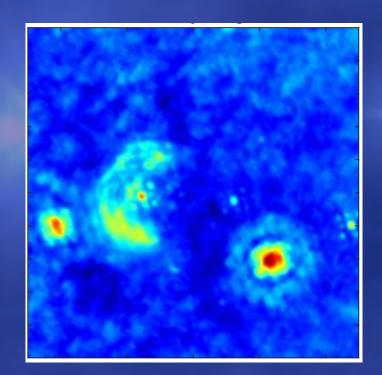
Beamsize (resolution) is inversely proportional to the array diameter; sidelobes cause *confusion*. Could use windowing (tapering).

Test on model image: W28 superremnant (from CASA Guides)

From the image, correlation data is computed and corresponding measured data x[n] is generated, with added noise; N = 10⁵ samples.
Operating frequency: 58:975 MHz, single time snapshot



Test image in dB (0 till -35 dB) *Q*=84681 pixels



MF dirty image

Deconvolution: The CLEAN algorithm

A source at location z_q has a response $B(z - z_q)$ in the dirty image.

CLEAN tries to locate sources one by one from the peaks in the image:

for
$$q = 1, 2, \cdots$$

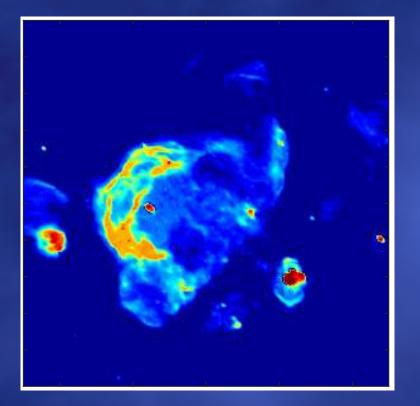
$$\begin{bmatrix} \mathbf{z}_q &= \arg \max_{\mathbf{z}} I_D(\mathbf{z}) \\ \hat{\sigma}_q^2 &= I_D(\mathbf{z}_q)/B(\mathbf{0}) \\ I_D(\mathbf{z}) &:= I_D(\mathbf{z}) - \gamma \hat{\sigma}_q^2 B(\mathbf{z} - \mathbf{z}_q), \quad \forall \mathbf{z} \end{bmatrix}$$

$$I_{clean}(\mathbf{z}) = I_D(\mathbf{z}) + \sum_q \gamma \hat{\sigma}_q^2 B_{synth}(\mathbf{z} - \mathbf{z}_q), \quad \forall \mathbf{z}$$

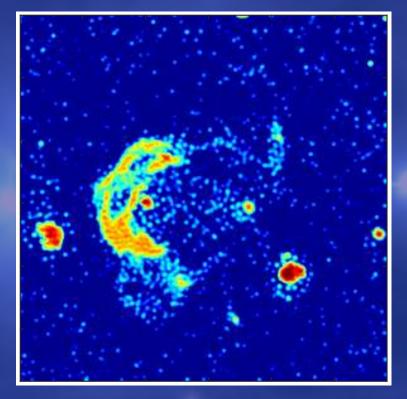
B_{synth}(z) is a 'synthetic beam", usually a Gaussian bell-shape.
 Instead of the subtractions on the dirty image, it is considered more accurate to do the subtractions on the sample covariance matrix R instead,

$$\hat{\mathbf{R}} := \hat{\mathbf{R}} - \gamma \hat{\sigma}_q^2 \mathbf{a}(\mathbf{z}_q) \mathbf{a}(\mathbf{z}_q)^H$$

The CLEAN algorithm



Original image



CLEAN + postprocessing 10 outer, 500 inner iterations (~1 min)

Rewrite in array processing language

Computing the dirty image is equal to (dropping index k for simplicity):

. .

$$I_D(\mathbf{z}) = \mathbf{a}^H \mathbf{R} \mathbf{a}, \qquad (\mathbf{a})_i \equiv e^{-\mathbf{j}\mathbf{u}_i} \mathbf{z}$$

Indeed,
$$I_D(\mathbf{z}) = \sum_{i,j} (\mathbf{R})_{ij} \overline{(\mathbf{a})_i} (\mathbf{a})_j = \sum_{i,j} (\mathbf{R})_{ij} e^{\mathbf{j}(\mathbf{u}_i - \mathbf{u}_j)^T} \mathbf{z}$$

 $\cdot T_{-}$

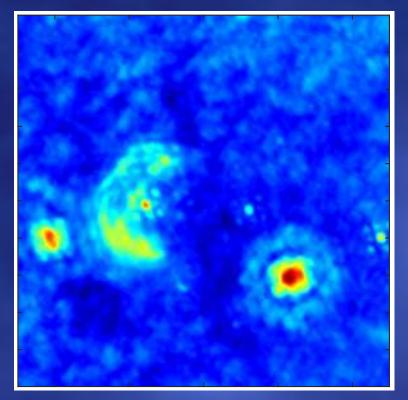
More general: beamformed image

For the *i*th pixel, let \mathbf{w}_i be a spatial beamformer, and compute $\sigma_i = \mathbf{w}_i^H \mathbf{R} \mathbf{w}_i$, $i = 1, \dots, Q$

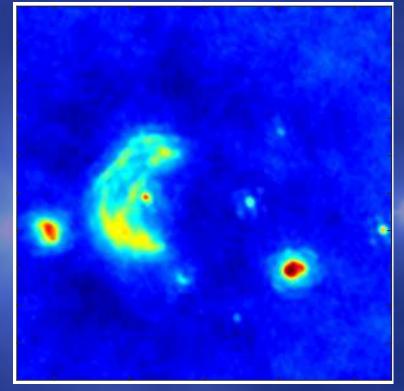
Minimum Variance Distortionless Response (MVDR) solution

Setting
$$\mathbf{w}_i = \frac{\mathbf{R}^{-1}\mathbf{a}_i}{\mathbf{a}_i^H \mathbf{R}^{-1}\mathbf{a}_i}$$
 leads to the MVDR dirty image (where $\mathbf{w}_i^H \mathbf{a}_i = 1$)
 $\sigma_{\text{MVDR},i} = \frac{1}{\mathbf{a}_i^H \mathbf{R}^{-1}\mathbf{a}_i}$

Beamformed images



MF image (classical dirty image)



MVDR dirty image; dB scale

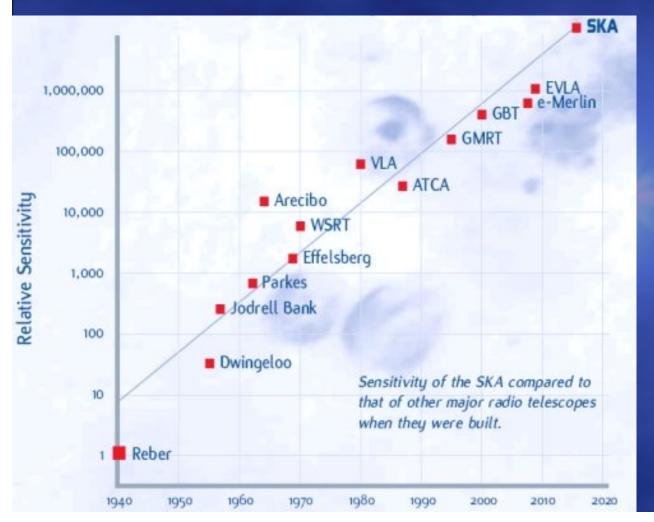
We can derive:

 $\mathbf{0} \leq \sigma_{\mathsf{true}} \leq \sigma_{\mathsf{MVDR}} \leq \sigma_{\mathsf{MF}}$

New instruments

Sensitivity evolution (1940-2010)

Exponential: doubles every 3 years



Other parameters

- Resolution
- Freq. range, bandwidth
- Survey speed (FoV)

SKA science drivers

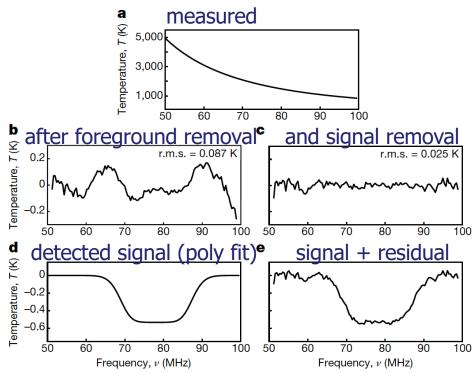
- Early universe:
 - Dark Ages and EoR: first star formation
 - Galaxy formation and evolution
- Cosmic magnetism
- Gravitation (pulsar timing)
- Origins of life

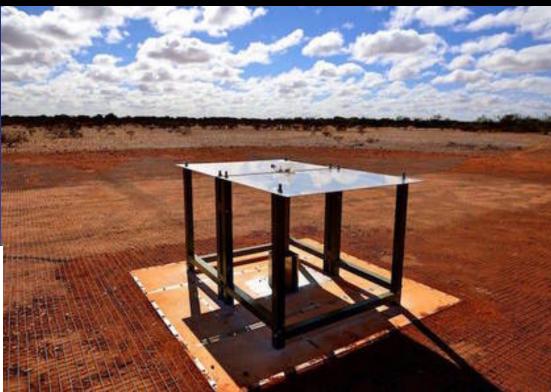
First EOR detection (?): EDGES telescope

EDGES telescope at MRO

Single dipole blade antenna (2x1 m)

Published in Nature, Mar 2018 (Judd Bowman e.a.):





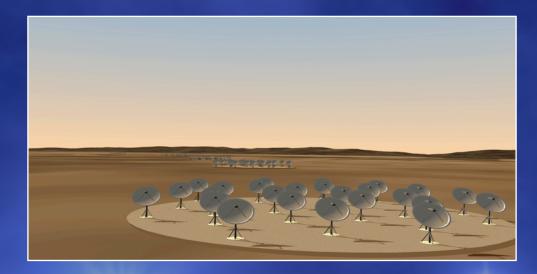
Detected EOR based on 100 h all-sky observations and parameterized (polynomial) models of the sky foreground and EOR spectrum

SKA is aimed to image this signal,

The Square Kilometer Array

Initial design parameters (2010)

- Operational in 2019/2023
- 1.000.000 m²: sensitivity 50 x VLA
- 70 MHz--25 GHz; instantaneous bandwidth 0.25 x f_c
- Core 5 km has 50% of elements); max 3000 km
- Combination of sparse phased arrays of dipoles, dense aperture arrays, dishes with focal plane arrays
- 50 instantaneous beams





The Square Kilometer Array

SKA1-Low (2013 param.)

- Aperture array with 250,000 log-periodic elements
- 866 stations, 90 km diameter
- 50 MHz—350 MHz
- Location: Western Australia







The Square Kilometer Array

Initially considered

- 400 MHz—1.4 GHz
- 250 dense aperture array stations
- Location: Southern Africa

SKA1-Mid (2013 param.)

- 350 MHz—3 GHz (...14.5 GH
- 190+64 dishes (MeerKAT) with single-pixel feeds
- Location: Southern Africa



2018: 64 dishes commissioned

SKA Precursor: MRO site

Murchison Radio-astronomy Observatory (MRO) site

Western Australia (Boolardy station)

Australian SKA Pathfinder (2019)

36 identical 12-metre wide dish antennas, 18 currently operational

Phased Array Feed (PAF) receivers (giving survey speed)

Murchison Widefield Array (2013)

80-300 MHz; 2048 dipole antennas in 128 tiles (4 x 4); max baseline ~ 3 km

Total BW 30 MHz; resolution 40 kHz x 0.5 s

2018: upgrade to 256 tiles over 6 km



SKA Precursor: MRO site



 SKA1-Low prototype station 256 low-frequency antennas (AAVS1)

Completed 25 May 2018



Quest for higher performance

Higher resolution \Rightarrow longer baselines \Rightarrow

shorter integration time (due to earth rotation) more (narrower) subbands

- Higher sensitivity ⇒ larger number of antennas (grouped in stations), longer observing times, better calibration (direction dependent)
- Higher survey speed ⇒ larger total bandwidth, larger FOV, multiple beams, direction dependent calibration

Results in larger data sets, higher computational demands, and the need for better algorithms

Also: cannot store all "raw" correlation data for later processing, need new intermediate data products (snapshot images, plus \cdots).

Typical numbers (SKA1-Low, 2013 design parameters) [Dewdney'13]

Frequency range: 50–300 MHz, sampled using log-periodic (somewhat directional) antennas.

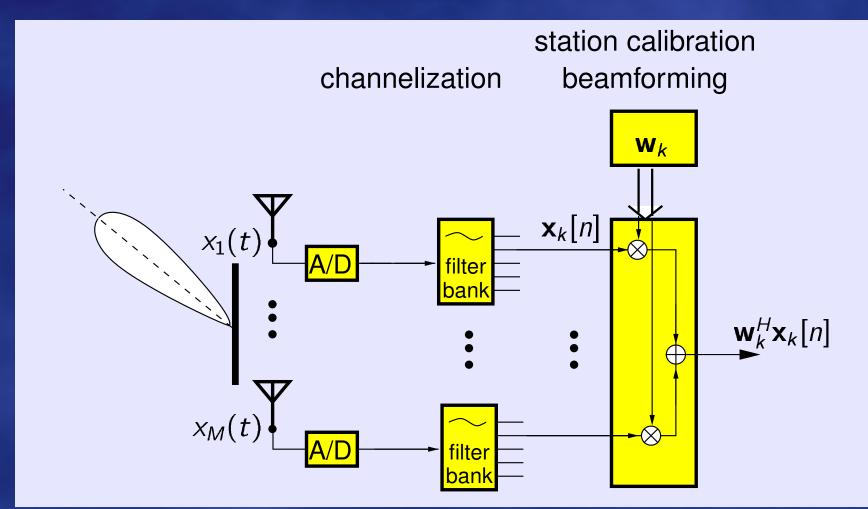
Max baseline 100 km (later scaled down to 65 km), station diameter 35 m.

Raw data

250 MHz bandwidth (2 polarizations), 2×4 bit per complex sample; 286 antennas/station; 911 stations (later scaled down to 512), i.e. > 260,000 antennas

Total: 286 GBps (one station); 260 TBps (all stations)

Station processing



Station processing

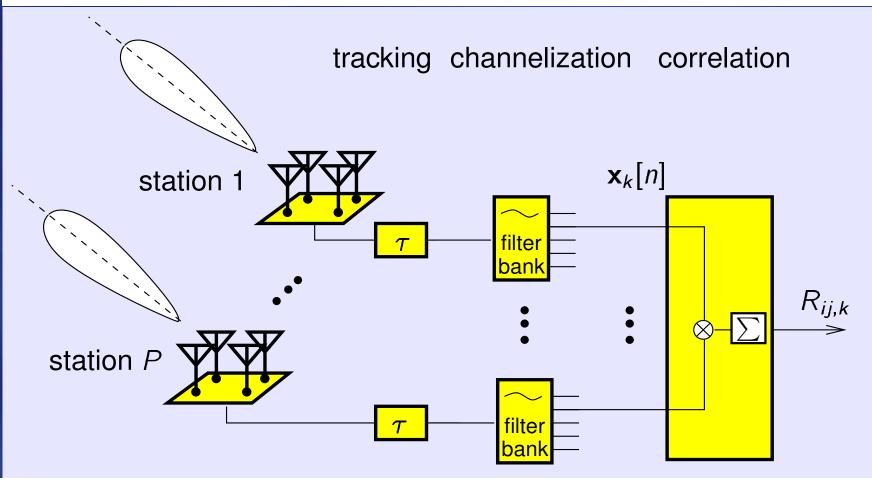
Station beamforming reduces raw data rate by a factor 286 to **1 GBps (1 station)**

Number of coarse frequency channels depends on station diameter (e.g. D = 35 m \Rightarrow BW \ll 8.6 MHz). But narrower subbands are used e.g. for station calibration processing.

| | one station | 1024 stations | | | |
|--|-------------|---------------|--|---|------------------|
| Channelization (polyphase filter bank) | 14.1 TOps/s | | | М | 10 ⁶ |
| Beamforming | 1.2 TOps/s | | | G | 10 ⁹ |
| Calibration | 1.3 TOps/s | | | Т | 10 ¹² |
| Total | 16.7 TOps/s | 17.1 POps/s | | Р | 10 ¹⁵ |
| Rate | 1.2 GBps | 1.2 TBps | | | |
| [Jongerius'16, not based on current parameters] 43 | | | | | |

Central signal processing

At a central location, the beamformed data from stations are correlated, and averaged over short time intervals.



Maximum integration time

Based on earth rotation: $T < 1200 \frac{D}{P}$

Output data rate \Rightarrow per channel

$$R = \frac{1}{1200} \cdot \frac{B}{D}$$

and

Number of subband channels

For the complete instrument, the longest baseline *B* determines the narrowband constraint (to avoid bandwidth smearing).

The maximum subband bandwidth is (taking into account the reduced FOV)

$$W_{chan} \ll \frac{D}{B} f_{\min} \quad \Rightarrow \quad W_{chan} = 0.1 \cdot \frac{D}{B} f_{\min}$$

For a total bandwidth W_{tot} , the number of subband channels is proportional to

$$N_{chan} = rac{W_{tot}}{W_{chan}} = 10 \cdot rac{B}{D} rac{W_{tot}}{f_{min}}$$

The main drivers for complexity are thus P^2 and the ratios

Central signal processing

• With *P* stations, the correlation matrices have size $P \times P$.

SKA1-Low (2013) asked for P = 911 stations.

Number of frequency channels depends on instrument diameter.

B = 100 km for SKA1-Low \Rightarrow BW \ll 4.2 kHz

Design: **250,000 channels** with $W_{chan} = 1$ kHz.

Integration time is limited due to earth rotation.

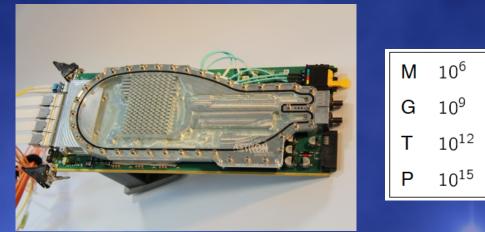
With B = 100 km (longest baseline), D = 25 m (station diameter), the maximal integration time is $T \ll 0.4$ sec

Output data: complex correlation matrices of size 911×911 times 250,000 chan-

nels, each 0.4 sec, times 4 polarizations

Central signal processing

| Channelization | 60.8 TOps/s | |
|----------------|--------------|--|
| Correlation | 5.0 POps/s | |
| RFI flagging | 0.3 POps/s | |
| Total | 5.3 POps/s | |
| Rate | 7.3 TBps (!) | |



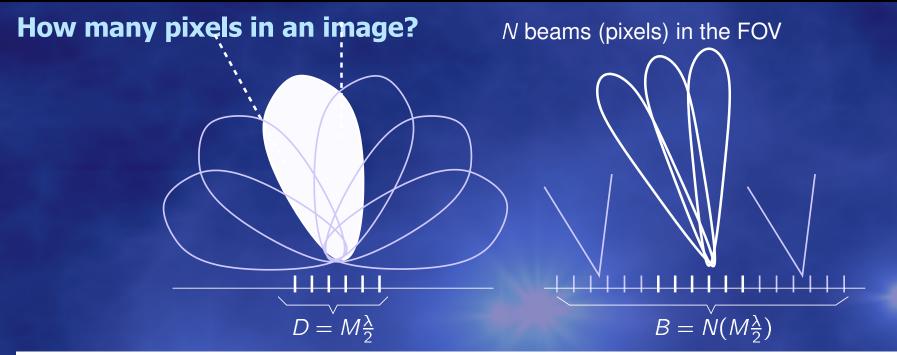
288 "Gemini" FPGA boards (ASTRON)

Note that the correlator produces six times more output "data" than flows in (due to P ⇒ P² and short integration length).

"Baseline dependent averaging" [analyzed in Wijnholds'15] exploits the fact that up to 90% of the baselines are short and can be integrated over longer times and larger bandwidths. This reduces the datarate by a factor 10 (about 700 GBps).

Hardware limitations perhaps not flops but bandwidth.

SJ Wijnholds, AG Willis, S Salvini, "Baseline-dependent averaging in radio interferometry", MNRAS 2018



Uniform linear array of N stations (each M antennas), 1 dimension

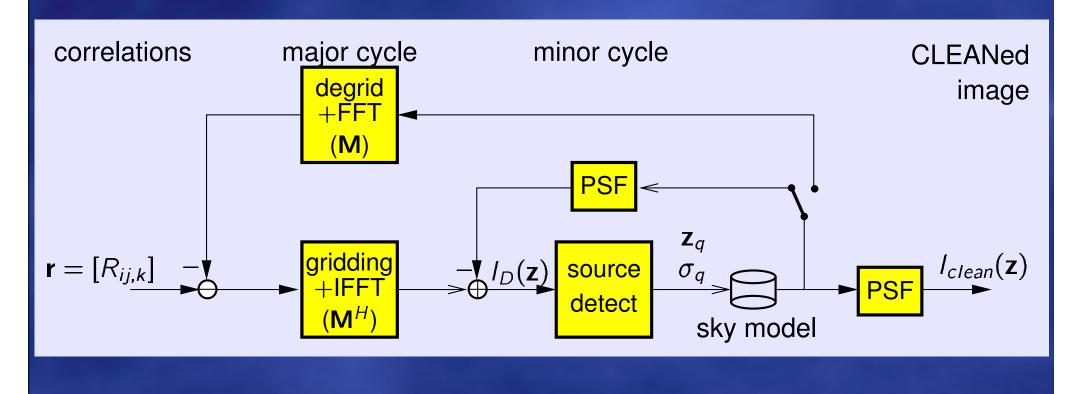
Station diameter: $D = M\frac{\lambda}{2}$ Max baseline: $B = N(M\frac{\lambda}{2})$ \Rightarrow $N = \frac{B}{D}$ pixels

For 2 dimensions, we obtain images of size $N \times N$.

SKA1-Low (2013): $D = 35 \text{ m}, B = 100 \text{ km} \Rightarrow N \sim 3000$

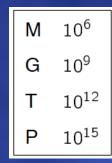
Science Data Processing

Science Data Processing is the actual image formation step. Also calibration parameters are estimated and applied.



Science Data Processing

| Gridding + IFFT (dirty image) | 84 POps/s |
|-----------------------------------|------------|
| Source subtraction (image domain) | 94 TOps/s |
| FFT + degrid (visibility predict) | 75 POps/s |
| Total | 158 POps/s |



Direction-dependent calibration: add 213 POps/s (?)

Resulting power requirements: at least 630 kW (SKA-1 Low) for the CPUs, and much more for the data transport from/to storage. Also consider data rearrangements ...

Conclusion: Improved imaging algorithms cannot be much more complex...

Image formation

Data model (measurement equation): $\mathbf{R}_k = \mathbf{A}_k \mathbf{\Sigma}_s \mathbf{A}_k^H + \mathbf{\Sigma}_n$.

Vectorized data model: $\mathbf{r}_k = \operatorname{vec}(\mathbf{R}_k)$; vectorized image: $\boldsymbol{\sigma}_s = \operatorname{vecdiag}(\boldsymbol{\Sigma}_s)$

 $\mathbf{r}_k = (\mathbf{A}_k^* \circ \mathbf{A}_k) \boldsymbol{\sigma}_s + \boldsymbol{\sigma}_{n,k} = \mathbf{M}_k \boldsymbol{\sigma}_s + \boldsymbol{\sigma}_{n,k}$, where $\mathbf{M}_k := \mathbf{A}_k^* \circ \mathbf{A}_k$

Notation: •: Khatri-Rao product (column-wise Kronecker product)

Stack for all STI's (+freq bins) k:

$$\mathbf{r} = \mathbf{M} \boldsymbol{\sigma}_s + \boldsymbol{\sigma}_n$$

Observations: $\hat{\mathbf{r}} = \mathbf{r} + \mathbf{e}$,

where *e* is finite sample noise, zero mean with covariance $C_{e,k} = \frac{1}{N} R_k^T \otimes R_k$.

Image formation problem

Given $\hat{\mathbf{r}}$ and \mathbf{M} , can we recover $\boldsymbol{\sigma}_s$?

First step: subtract or project out $\boldsymbol{\sigma}_n$ from $\hat{\mathbf{r}}$ (for simplicity, no change in notation; also dropping subscript *k*).

Image formation

Initial approach: Least Squares

```
The LS RA imaging problem is
```

$$\hat{\sigma} = rgmin_{\sigma} \|\hat{\mathbf{r}} - \mathbf{M}\sigma\|_2^2$$

The solution satisfies the normal equations

 $\mathbf{M}^{H}\mathbf{M}\hat{\mathbf{\sigma}}=\mathbf{M}^{H}\mathbf{\hat{r}}$,

 $\hat{\sigma}_{MF} = M^{H}\hat{r}$ is the MF dirty image, and $M^{H}M$ represents the convolution of the image pixels with the beampattern of the array.

- For large number of pixels Q, M^HM is ill conditioned or not even invertible, and a solution cannot be obtained without regularizing assumptions.
- Taking a pseudo-inverse of M^HM using a truncated SVD suppresses high frequencies and results in a Gibbs phenomenon effect.

Next – to the moon



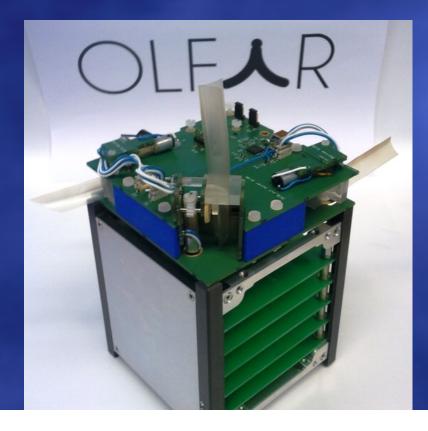
Netherlands-China Low-Frequency Explorer (20 May 2018)

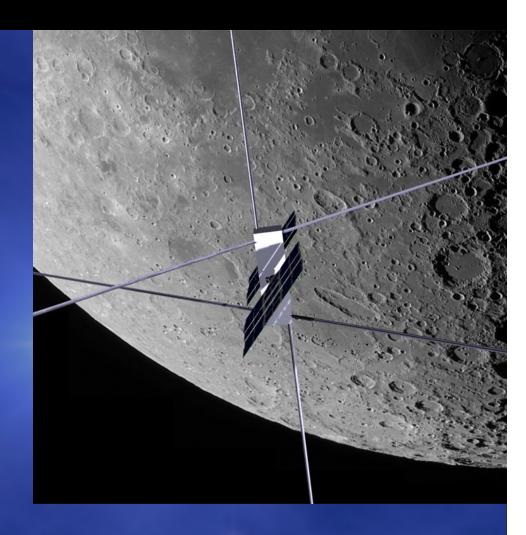
- Piggyback on a relay satellite for the Chinese lunar explorer mission (Chang'e 4)
- 3 antennas (orthogonal dipoles), (80 kHz till) 1-80 MHz
- Also: launch of two moon-orbiting microsatellites for testing interferometry at 1-30 MHz (but one failed?)

Next – to the moon

OLFAR – orbiting low frequency array, design concept

Swarm of some 50 nano-satellites, orbiting the moon





Conclusions

Radio astronomy is an interesting application area for Array Signal Processing

- Imaging and deconvolution
- Interference reduction using subspace techniques
- Calibration

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A.J. van der Veen, S.J. Wijnholds, A.M. Sardarabadi, **Signal Processing Tools for Radio Astronomy**, in *S. Bhattacharryya e.a. (Ed.), Handbook of Signal Processing Systems*, 3rd ed., Springer, pp. (40 pp.), July 2018.